



AI GOVERNANCE CHECKLIST FOR HEALTHCARE ORGANIZATIONS

A Self-Assessment Tool for Identifying Governance Gaps in AI Deployments

Instructions: For each question, mark YES or NO. If you answer NO to any question, you likely have a governance gap.

REGULATORY COMPLIANCE

- ☐ Have you reviewed federal requirements (HIPAA, FDA, OCR) for your AI system?
- ☐ Do you know which states you operate in and their specific consent/recording laws?
- ☐ Are you aware of the Joint Commission's RUAH guidance (September 2025)?
- ☐ Have you assessed whether your AI system meets FDA's medical device definition?

VENDOR CONTRACTS & DATA SECURITY

- ☐ Does your contract clearly define data ownership and usage rights?
- ☐ Have you reviewed your Business Associate Agreement for AI-specific provisions?
- ☐ Do you have audit rights to review vendor's AI models and data practices?
- ☐ Does your contract limit how vendors use your data for "training"?
- ☐ Are there SLA commitments on accuracy (not just uptime)?

PATIENT RIGHTS & CONSENT

- ☐ Do you obtain explicit patient consent in two-party consent states?
- ☐ Do patients know when AI is being used in their care?
- ☐ Do you have state-specific consent forms (not generic HIPAA)?
- ☐ Can patients opt out of AI use without penalty?

CLINICAL OVERSIGHT & QUALITY

- ☐ Do you have documented protocols for physician review of AI outputs?
- ☐ Is there ongoing quality monitoring of AI performance?
- ☐ Do you have a process for detecting and reporting AI errors?
- ☐ Have clinicians been trained on AI limitations and proper use?

GOVERNANCE STRUCTURE

- ☐ Do you have a formal AI governance committee?
- ☐ Is there a designated leader responsible for AI oversight?
- ☐ Are AI policies and procedures documented?
- ☐ Does your board receive regular updates on AI use?

BIAS & EQUITY

- ☐ Have you assessed your AI system for algorithmic bias?
 - ☐ Do you monitor for disparate outcomes across patient populations?
 - ☐ Was the AI trained on representative datasets?
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SCORING YOUR RESULTS

20+ YES: Strong governance foundation
15-19 YES: Moderate governance gaps (assessment recommended)
10-14 YES: Significant governance gaps (assessment strongly recommended)
Under 10 YES: Critical governance gaps (immediate assessment needed)

NEXT STEPS

If you identified governance gaps, Pedone AI Advisors can help:

Comprehensive AI Governance Assessment: \$25,000-\$35,000
Full evaluation across regulatory, contract, oversight, and quality areas

TJC RUAH Certification Readiness: \$35,000-\$45,000
Alignment with all 7 Joint Commission RUAH elements

Focused Risk Assessment: \$15,000-\$25,000
Targeted evaluation of specific governance concerns

Schedule a consultation: jeff@pedoneai.com | pedoneai.com

About Jeffrey Pedone

Jeffrey Pedone manages mission-critical AI deployments for healthcare systems, federal agencies, and critical infrastructure at Red Hat/IBM. With 15+ years implementing enterprise technology & AI for NYU Langone Medical, Federal Aviation Administration, and NYC Office of Technology and Innovation, he brings safety-critical systems expertise to healthcare AI governance.

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